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[Appropriation - Proceeds from Revenue Bonds, State loans or grants, Wastewater Revenues and Capacity Fees of \$1,217,658,494 for Wastewater Capital Improvements at the Public Utilities Commission for Fiscal Year 2018-2019 and Fiscal Year 2019-2020.]

**Ordinance appropriating a total of \$1,217,658,494 of proceeds from revenue bonds, State of California Water Resources Control Board's revolving loan funds (State Loan Funds) or grant funds (State Grant Funds), wastewater revenue and capacity fees for the San Francisco Public Utilities Commission (SFPUC) Wastewater Enterprise's Capital Improvement Program for Fiscal Year 2018-2019 at \$706,606,351 and for Fiscal Year 2019-20 at \$511,052,143, and placing \$987,414,494 in Revenue Bonds or State Loan or Grant Funds by project on Controller's reserve subject to the Controller's certification of funds availability, including proceeds of indebtedness, and for construction related expenditures (excluding program management, planning and design) for these projects, as applicable, is also subject to the prior occurrence of the SFPUC's and the Board of Supervisors' discretionary adoption of California Environmental Quality Act (CEQA) Findings for projects, following review and consideration of completed project related environmental analysis, where required.**

Note: **Unchanged Code text and uncodified text** are in plain Arial font.  
**Additions to Codes** are in *single-underline italics Times New Roman font*.  
**Deletions to Codes** are in *strikethrough italics Times New Roman font*.  
**Board amendment additions** are in double-underlined Arial font.  
**Board amendment deletions** are in ~~strikethrough Arial font~~.  
**Asterisks ( \* \* \* )** indicate the omission of unchanged Code subsections or parts of tables.

1 Be it ordained by the People of the City and County of San Francisco:

2  
3 Section 1. The sources of funding outlined below are herein appropriated to reflect the  
4 funding available for Fiscal Year 2018-2019.

5  
6 **SOURCES Appropriation**

Fund /	Project & Activity /	Account	Description	Amount
Department ID	Authority			
Wastewater Capital	10026508 -0001/	48011	Proceeds Sale	\$593,841,351
Bond Fund (New)/	17732		of Bonds	
229267				
20550	10031235_0001	495022	Wastewater	\$106,765,000
WWE CPF R & R	15722		Enterprise	
229267			Revenue	
20530	10031235_0001/	479993	Wastewater	\$6,000,000
WWE Capacity Fee	15722		Capacity Fee	
229267				
<b>Total SOURCES Appropriation</b>				<b><u>\$706,606,351</u></b>

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22 Section 2. The uses of funding outlined below are herein appropriated in account  
23 506700 Buildings Structures and Improvements, 584030 Capital Renewal Projects,  
24 581130 Internal Audits and 567000 Revenue Bond Oversight Committee, 507311  
25 Financing Costs and reflects the projected uses of funding to support the Wastewater

1 Capital Improvement Program at the San Francisco Public Utilities Commission for  
 2 Fiscal Year 2018-2019.

3  
 4 **USES Appropriation**

5	Fund /	Project & Activity /	Account	Description	Amount
6	Department ID	Authority			
7	Wastewater Capital	10029731_0002/	567000	SSIP –	\$16,500,000
8	Bond Fund (New)/	15733		Program-Wide	
9	229267			Management	
10					
11	Wastewater Capital	10029731_0002	567000	SSIP – Land	\$483,000
12	Bond Fund (New)/	15733		Reuse	
13	229267				
14					
15	Wastewater Capital	10001579_0001	567000	Biosolids/	\$181,201,650
16	Bond Fund (New)/	15728		Digester	
17	229267			Project	
18					
19	Wastewater Capital	10026823_0001/	567000	Treatment	\$90,133,139
20	Bond Fund (New)/	15735		Plants	
21	229267			Headworks	
22					
23	Wastewater Capital	10026823_0001/	567000	Treatment	\$45,168,983
24	Bond Fund (New)/	15735		Plants -	
25	229267			Southeast	

1	Fund /	Project & Activity /	Account	Description	Amount
2	Department ID	Authority			
3					
4	Wastewater Capital	10015813_0001	567000	Treatment	\$7,350,097
5	Bond Fund (New)/	15736		Plants – North	
6	229267			Point	
7					
8	Wastewater Capital	10015813_000	567000	Treatment	\$17,345,380
9	Bond Fund (New)/	157361		Plants –	
10	229267			Oceanside	
11					
12	Wastewater Capital	10015791_0001	567000	Collection	\$13,545,729
13	Bond Fund (New)/	15726		System	
14	229267			Improvements	
15					
16	Wastewater Capital	10026827_0001	567000	Pump Station/	\$15,926,996
17	Bond Fund (New)/	15726		Force Mains	
18	229267				
19					
20	Wastewater Capital	10015801_0001	567000	Flood	\$21,059,656
21	Bond Fund (New)/	15730		Resilience	
22	229267				
23					
24					
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1	Fund /	Project & Activity /	Account	Description	Amount
2	Department ID	Authority			
3	Wastewater Capital	10002780_0001	567000	Green	\$24,317,718
4	Bond Fund (New)/	15729		Infrastructure	
5	229267			Projects	
6					
7	20550	10031235_0001	584030	R & R	\$83,765,000
8	WWE CPF R & R	15722		Collection	
9	229267			System	
10					
11	20530	10031235_0001	584030	R & R	\$6,000,000
12	WWE Capacity Fee	15722		Collection	
13	229267			System	
14					
15	20550	10031247_0001	584030	R & R	\$23,000,000
16	WWE CPF R & R	15724		Treatment	
17	229267			Facilities	
18					
19	Wastewater Capital	10015546_0001	567000	Treasure Island	\$6,373,000
20	Bond Fund (New)/	15707		Improvements	
21	229267				
22					
23	Wastewater Capital	1001554_0001	567000	Ocean Beach	\$8,149,557
24	Bond Fund (New)/	15714		Project	
25	229267				

1	Fund /	Project & Activity /	Account	Description	Amount
2	Department ID	Authority			
3					
4	Wastewater Capital	10015556_0001	567000	Southeast	\$66,000,000
5	Bond Fund (New)/	15716		Community	
6	229267			Center	
7					
8	Wastewater Capital	100015557_0001	567000	Islais Creek	\$5,000,000
9	Bond Fund (New)/	15717		Outlet	
10	229267				
11					
12	Wastewater Capital	New	567000	Southeast	\$1,056,277
13	Bond Fund (New)/			Outfall	
14	229267			Rehabilitation	
15					
16	Wastewater Capital	10026508_0001	573110	Financing	\$72,894,026
17	Bond Fund (New)/	17732		Costs	
18	229267				
19					
20	Wastewater Capital	10026508_0001	581130	City Services	\$1,039,222
21	Bond Fund (New)/	17732		Auditor	
22	229267				
23					
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1	Fund /	Project & Activity /	Account	Description	Amount
2	Department ID	Authority			
3	Wastewater Capital	10026508_0001	567000	Revenue Bond	\$296,921
4	Bond Fund (New)/	17732		Oversight	
5	229267			Committee	
6					
7	<b>Total USES Appropriation</b>				<b><u>\$706,606,351</u></b>

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9 Section 3. Of the above appropriated amount, \$1,039,222 representing 0.2% of the

10 expenditure budget net of bond financing and audit costs, is to be allocated and

11 available to support the Controller's Audit Fund, pursuant to Charter Appendix F1.113;

12 and \$296,921 representing 0.05% of gross bond proceeds is to be allocated and

13 available to support the Public Utilities Commission Revenue Bond Oversight

14 Committee, pursuant to Administrative Code Section 5A.31. These appropriations may

15 be increased or decreased by the Controller based on changes to expenditure

16 appropriations or actual gross bond proceeds to conform to the applicable Charter and

17 Administrative Code formulas.

18

19 Section 4. \$593,841,351 of the total appropriation is hereby placed on Controller's

20 Appropriation Reserve by project. Release of appropriation reserves by the Controller

21 is subject to the Controller's certification of funds availability, including proceeds of

22 indebtedness, and for construction related expenditures (excluding program

23 management, planning and design) for these projects, as applicable, is also subject to

24 the prior occurrence of the SFPUC's and the Board of Supervisors' discretionary

25 adoption of California Environmental Quality Act (CEQA) Findings for projects, following

1 review and consideration of completed project related environmental analysis, where  
2 required.

3  
4 Section 5. Associated Bond financing costs up to \$72,894,026 is also hereby  
5 appropriated, including but not limited to, issuance costs, debt service reserve,  
6 capitalized interest, rating agency, and disclosure costs, all on Controller's reserve  
7 pending receipt of bond proceeds. To the extent that net available bond proceeds after  
8 financing costs are more than budgeted, the Department may use such surplus bond  
9 proceeds as a substitute for other sources budgeted in this appropriation.

10  
11 Section 6. The Controller is authorized to record transfers between funds and adjust  
12 the accounting treatment of sources and uses appropriated in this ordinance as  
13 necessary to conform to Generally Accepted Accounting Principles.

14  
15 Section 7. The sources of funding outlined below are herein appropriated to reflect the  
16 funding available for Fiscal Year 2019-2020.

17  
18 **SOURCES Appropriation**

19	Fund /	Project & Activity /	Account	Description	Amount
20	Department ID	Authority			
21	Wastewater Capital	10026508_0001	480111	Proceeds Sale of	\$393,573,143
22	Bond Fund (New)/	17732		Bonds	
23	229267				

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1	Fund /	Project & Activity /	Account	Description	Amount
2	Department ID	Authority			
3	20550	10031235_0001	495022	Wastewater	\$112,979,000
4	WWE CPF R & R	15722		Enterprise	
5	229267			Revenue	
6					
7	20530	10031235_0001	479993	Wastewater	\$4,500,000
8	WWE Capacity Fee	15722		Capacity Fee	
9	229267				
10	<b>Total SOURCES Appropriation</b>				<b>\$511,052,143</b>

11  
12 Section 8. The uses of funding outlined below are herein appropriated in account  
13 567000 Buildings Structures and Improvements, 584030 Capital Renewal Projects,  
14 581330 Internal Audits, 567000 Revenue Bond Oversight Committee and 573110  
15 Financing Costs, and reflects the projected uses of funding to support the Wastewater  
16 Capital Improvement Program at the San Francisco Public Utilities Commission for  
17 Fiscal Year 2019-2020.

18	Fund /	Project & Activity /	Account	Description	Amount
19	Department ID	Authority			
20	Wastewater Capital	10029731_0002/	567000	SSIP –	\$16,590,000
21	Bond Fund (New)/	15733		Program-Wide	
22	229267			Management	
23					
24					
25					

1	Fund /	Project & Activity /	Account	Description	Amount
2	Department ID	Authority			
3	Wastewater Capital	10026823_0001/	567000	Treatment	\$92,204,646
4	Bond Fund (New)/	15735		Plants	
5	229267			Headworks	
6					
7	Wastewater Capital	10026823_0001/	567000	Treatment	\$20,267,522
8	Bond Fund (New)/	15735		Plants –	
9	229267			Southeast	
10					
11	Wastewater Capital	10015813_0001	567000	Treatment	\$8,416,672
12	Bond Fund (New)/	15736		Plants – North	
13	229267			Point	
14					
15	Wastewater Capital	10015813_000	567000	Treatment	\$48,219,969
16	Bond Fund (New)/	157361		Plants –	
17	229267			Oceanside	
18					
19	Wastewater Capital	10015793_0001	567000	Central	\$20,350,000
20	Bond Fund (New)/	75727		Bayside	
21	229267			System	
22					
23	Wastewater Capital	10015791_0001	567000	Collection	\$27,367,509
24	Bond Fund (New)/	15726		System	
25	229267			Improvements	

1	Fund /	Project & Activity /	Account	Description	Amount
2	Department ID	Authority			
3					
4	Wastewater Capital	10026827_0001	567000	Pump Station/	\$21,567,369
5	Bond Fund (New)/	15726		Force Mains	
6	229267				
7					
8	Wastewater Capital	10015801_0001	567000	Flood	\$30,762,194
9	Bond Fund (New)/	15730		Resilience	
10	229267			Projects	
11					
12	Wastewater Capital	10002780_0001	567000	Green	\$9,693,772
13	Bond Fund (New)/	15729		Infrastructure	
14	229267			Projects	
15					
16	20550	10031235_0001	584030	R & R	\$88,829,000
17	WWE CPF R & R	15722		Collection	
18	229267			System	
19					
20	20530	10031235_0001	584030	R & R	\$4,500,000
21	WWE Capacity Fee	15722		Collection	
22	229267			System	
23					
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1	Fund /	Project & Activity /	Account	Description	Amount
2	Department ID	Authority			
3	20550	10031247_0001	584030	R & R	\$24,150,000
4	WWE CPF R & R	15724		Treatment	
5	229267			Facilities	
6					
7	Wastewater Capital	10015546_0001	567000	Treasure Island	\$23,957,000
8	Bond Fund (New)/	15707		Improvements	
9	229267				
10					
11	Wastewater Capital	1001554_0001	567000	Ocean Beach	\$5,381,319
12	Bond Fund (New)/	15714		Project	
13	229267				
14					
15	Wastewater Capital	10015556_0001	567000	Southeast	\$3,500,000
16	Bond Fund (New)/	15716		Community	
17	229267			Center	
18					
19	Wastewater Capital	100015557_0001	567000	Islais Creek	\$15,000,000
20	Bond Fund (New)/	15717		Outlet	
21	229267				
22					
23	Wastewater Capital	New	567000	Southeast	\$1,098,528
24	Bond Fund (New)/			Outfall	
25	229267			Rehabilitation	

1	Fund /	Project & Activity /	Account	Description	Amount
2	Department ID	Authority			
3					
4	Wastewater Capital	10026508_0001	573110	Financing	\$48,311,103
5	Bond Fund (New)/	17732		Costs	
6	229267				
7					
8	Wastewater Capital	10026508_0001	581130	City Services	\$688,753
9	Bond Fund (New)/	17732		Auditor	
10	229267				
11					
12	Wastewater Capital	10026508_0001	567000	Revenue Bond	\$196,787
13	Bond Fund (New)/	17732		Oversight	
14	229267			Committee	
15					
16	<b>Total USES Appropriation</b>				<b><u>\$511,052,143</u></b>

17 Section 9. Of the above appropriated amount, \$688,753 representing 0.2% of the  
18 expenditure budget net of bond financing and audit costs, is to be allocated and  
19 available to support the Controller's Audit Fund, pursuant to Charter Appendix F1.113;  
20 and \$196,787, representing 0.05% of gross bond proceeds is to be allocated and  
21 available to support the Public Utilities Commission Revenue Bond Oversight  
22 Committee, pursuant to Administrative Code Section 5A.31. These appropriations may  
23 be increased or decreased by the Controller based on changes to expenditure  
24  
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1 appropriations or actual gross bond proceeds to conform to the applicable Charter and  
2 Administrative Code formulas.

3

4 Section 10. \$393,573,143 of the total appropriation is hereby placed on Controller's  
5 Appropriation Reserve by project. Release of appropriation reserves by the Controller is  
6 subject to the Controller's certification of funds availability, including proceeds of  
7 indebtedness, and for construction related expenditures (excluding program  
8 management, planning and design) for these projects, as applicable, is also subject to  
9 the prior occurrence of the SFPUC's and the Board of Supervisors' discretionary  
10 adoption of California Environmental Quality Act (CEQA) Findings for projects, following  
11 review and consideration of completed project related environmental analysis, where  
12 required..

13

14 Section 11. Associated Bond financing costs up to \$48,311,103 is also hereby  
15 appropriated, including but not limited to, issuance costs, debt service reserve,  
16 capitalized interest, rating agency, and disclosure costs, all on Controller's reserve  
17 pending receipt of bond proceeds. To the extent that net available bond proceeds after  
18 financing costs are more than budgeted, the Department may use such surplus bond  
19 proceeds as a substitute for other sources budgeted in this appropriation.

20

21 Section 12. The Controller is authorized to record transfers between funds and adjust  
22 the accounting treatment of sources and uses appropriated in this ordinance as  
23 necessary to conform to Generally Accepted Accounting Principles.

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1 Section 13. This Board, by Ordinance No. 89-15, authorized the SFPUC to enter into  
2 one or more State of California State Water Resources Control Board Installment Sale  
3 Agreements under the State Revolving Fund (SRF) Loan Program and Water  
4 Infrastructure and Innovation Act (WIFIA) loan, and amended and supplemented  
5 Ordinance No. 107-14 to authorize, in addition to the issuance of Wastewater revenue  
6 bonds, the execution and delivery of State Loan Funds to finance projects, provided that  
7 any such indebtedness shall not exceed in an aggregate principal amount  
8 \$987,414,494. The Board is concurrently considering with this Ordinance a SFPUC  
9 Wastewater Revenue Bond issuance, including authorization to obtain State Loan  
10 Funds and State Grant Funds, not to exceed \$1,217,658,494. The Sources of Funds  
11 herein appropriated in Section 1 and Section 7 of this Ordinance, or previously  
12 appropriated by Ordinance 105-14, may include State Loan Funds or State Grant Funds  
13 when available, subject to compliance with the terms of the authorizing legislation for  
14 such Funds. The Controller is authorized to record substitution of the source of funds  
15 appropriated with State Loan Funds or State Grant Funds, as necessary to conform to  
16 Generally Accepted Accounting Principles.

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APPROVED AS TO FORM:  
DENNIS J. HERRERA, City Attorney

FUNDS AVAILABLE  
BEN ROSENFELD, Controller

By: \_\_\_\_\_  
BURKE E. DELVENTHAL  
Deputy City Attorney

By: \_\_\_\_\_  
BEN ROSENFELD  
Controller